

Export Documentary Collection Return - Close User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management
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Contents

Oracle Banking Trade Finance Process Management	1
Overview.....	1
Benefits.....	1
Key Features	1
Export Documentary Collection Return - Close	2
Common Initiation Stage.....	2
Registration.....	3
Application Details	5
Collection Details.....	6
Miscellaneous.....	8
Data Enrichment	9
Main Details.....	12
Return Details.....	15
Additional Fields	16
Advices	16
Additional Details.....	17
Settlement Details	24
Summary	26
Multi Level Approval.....	29
Authorization Re-Key (Non-Online Channel).....	29
Summary	30
Reject Approval.....	31
Summary	32
Action Buttons	32
Reference and Feedback	35
References.....	35
Documentation Accessibility.....	35
Feedback and Support.....	35

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export Documentary Collection Return - Close

Export Collection Return process facilitates the user to close the outstanding bills along with the returned documents or without any documents, when no more payments are expected.

This section contains the following topics:

Common Initiation Stage	Reject Approval
Registration	Data Enrichment
Multi Level Approval	Multi Level Approval

Common Initiation Stage

The user can initiate the new export collection return request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Documentary Collection Number	Select the Documentary Collection Number.
Branch	Select the branch.

Action Buttons

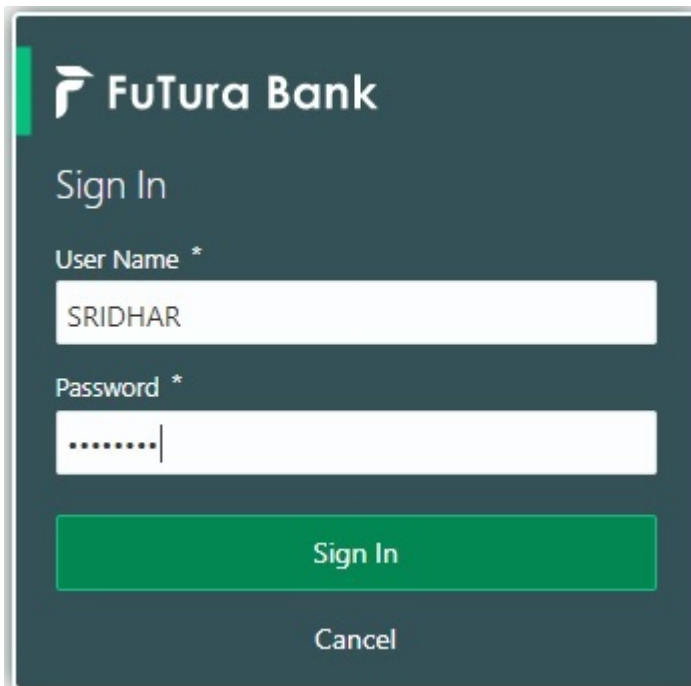
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

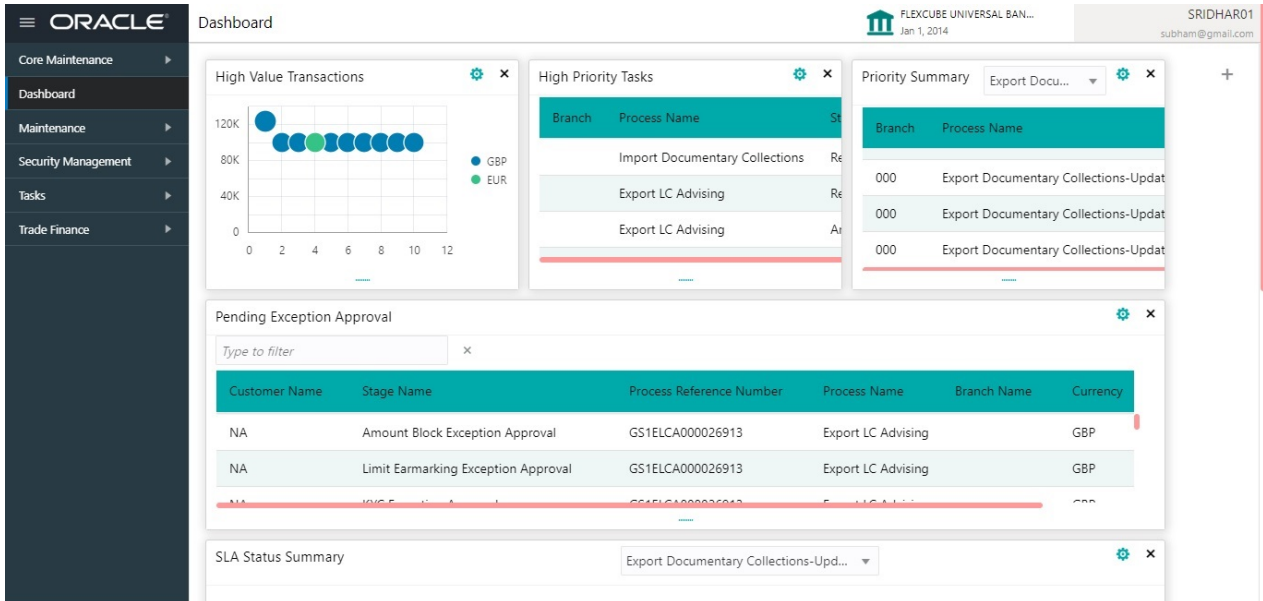
The process starts from Registration stage, during Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

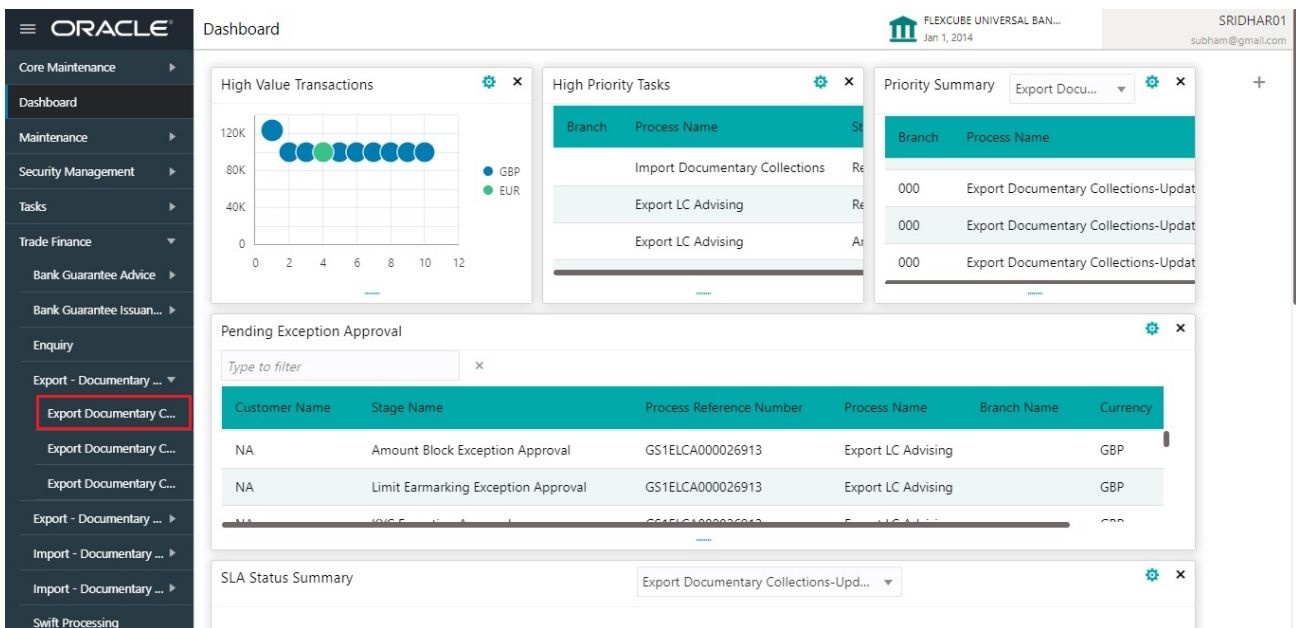


The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. Below the input fields are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.



3. Click Trade Finance> Export - Documentary Collection> Export Documentary Collection Return - Close.



The Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Collection Number	Provide the Documentary Collection Number. Alternatively, user can search the Documentary Collection Number using LOV. In the LOV, user can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.	
Drawer	Read only field. Drawer ID and drawer name will be auto-populated based on the selected Documentary Collection Number from the LOV.	
Branch	Read only field. Branch details will be auto-populated based on the selected Documentary Collection Number from the LOV.	203-Bank Futura -Branch FZ1
Bill Currency and Amount	Read only field. Bill currency and amount will be auto-populated based on the selected Documentary Collection Number from the LOV.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Customer Reference Number	User can enter the customer reference number.	
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export Collection Liquidation request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Return Date	By default, the application will display branch's current date.	04/13/2018
Version Number	This field displays the latest version of the bill.	

Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Documents Received	Read only field. Documents received details will be auto-populated based on the selected Documentary Collection Number from the LOV.	

Field	Description	Sample Values
Tenor Type	Read only field. Tenor will be auto-populated based on the selected Documentary Collection Number from the LOV.	
Product Code	Read only field. Product code will be auto-populated based on the selected Documentary Collection Number from the LOV.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Operation Type	Read only field. Operation type will be auto-populated based on the selected Documentary Collection Number.	
Stage	Read only field. Stage will be auto-populated based on the selected Documentary Collection Number.	
Co-Acceptance Required	Read only field. Co-Acceptance Required will be auto-populated based on the selected Documentary Collection Number.	
Contract Reference Number	System to populate contract reference number from the back end system once the Documentary Collection Number is selected.	
Drawee	Read only field. Drawee ID and Drawee Name will be auto-populated based on the selected Documentary Collection Number.	
Outstanding Bill Amount	Read only field. Bill Outstanding Amount will be auto-populated based on the selected Documentary Collection Number.	
Finance Amount	Read only field. Finance Amount will be auto-populated based on the selected Documentary Collection Number.	
Collecting Bank	Read only field. Collecting Bank ID and Collecting Bank Name will be auto-populated based on the selected Documentary Collection Number.	

Field	Description	Sample Values
Collecting Bank Reference Number	Read only field. Collecting Bank Reference Number will be auto-populated based on the selected Documentary Collection Number.	
Protest Date	Read only field. Protest Date will be auto-populated based on the selected Documentary Collection Number.	
Acceptance Date	Read only field. Acceptance Date will be auto-populated based on the selected Documentary Collection Number.	

Miscellaneous

Export LC Liquidation

Application Details

Drawing Reference Number:

ProcessReferenceNumber:

Export LC Reference Number:

Priority:

Beneficiary:

Submission Mode:

Branch:

Liquidation Date:

Liquidation Details

Tenor:

Document Received:

Product Code:

Product Description:

Drawing Date:

Issuing Bank:

Issuing Bank Reference:

Expiry Date:

LC Drawing Amount:

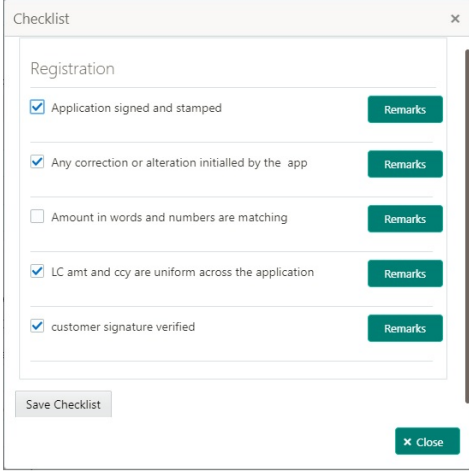
Outstanding LC Value:

Additional Amount:

Buttons: Documents, Remarks, View LC, LC Events, Hold, Cancel, Save & Close, Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the Documentary Collection.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	

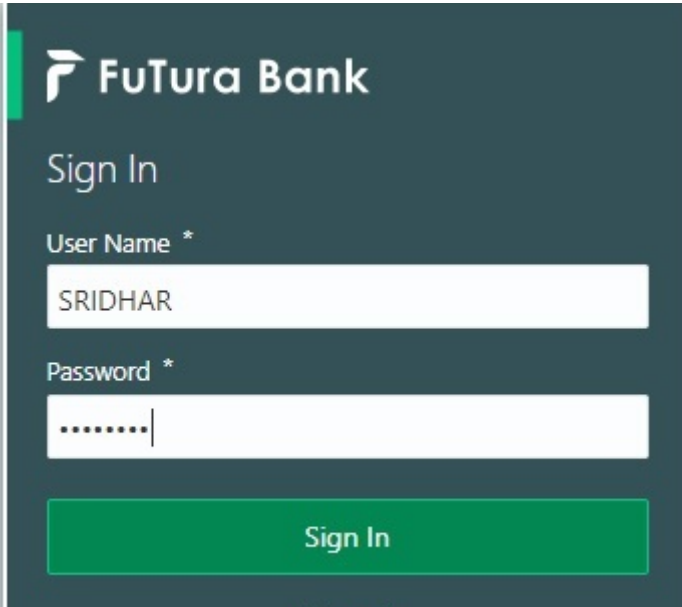
Field	Description	Sample Values
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.</p> 	
Action Buttons		
Submit	<p>On submit, task will move to next logical stage of Export Documentary Collection Return - Close.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Task' queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	<p>Cancels the Export Documentary Collection Return - Close Task. Details entered will not be saved and the task will be removed.</p>	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Data Enrichment

On successful completion of Registration of an Export Collection Return, the request moves to Data Enrichment stage. At this stage the gathered information during registration are scrutinized.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.

High Value Transactions

Month	GBP	EUR
1	120K	80K
2	80K	80K
3	80K	80K
4	80K	80K
5	80K	80K
6	80K	80K
7	80K	80K
8	80K	80K
9	80K	80K
10	80K	80K
11	80K	80K
12	80K	80K

High Priority Tasks

Branch	Process Name	Status
	Import Documentary Collections	Re...
	Export LC Advising	Re...
	Export LC Advising	Ar...

Priority Summary

Branch	Process Name
000	Export Documentary Collections-Update
000	Export Documentary Collections-Update
000	Export Documentary Collections-Update

Pending Exception Approval

Customer Name	Stage Name	Process Reference Number	Process Name	Branch Name	Currency
NA	Amount Block Exception Approval	GS1ELCA000026913	Export LC Advising		GBP
NA	Limit Earmarking Exception Approval	GS1ELCA000026913	Export LC Advising		GBP

SLA Status Summary

Branch	Process Name
	Export Documentary Collections-Update

3. Click Trade Finance> Tasks> Free Tasks.

Action	Priority	Process Name	Process Reference	Stage	Application Date	Branch	Customer Number	At
Acquire & Edit		Export Documentary Re...	000EDCR000029935	DataEnrichment	1586947061681	000	000009	
Acquire & Edit	H	Export LC Cancellation	300ELCC000029931	Approval Task Level 1	70-01-01	300	001506	
Acquire & Edit	M	Export Documentary- B...	000EDCB000029467	Registration	70-01-01	000	000947	
Acquire & Edit		Import LC Drawing	300ILCD000029926	Scrutiny	1586946137943	300	001506	
Acquire & Edit	H	Import Documentary- B...	300IDCB000029923	DataEnrichment	1586945695132	300	001506	
Acquire & Edit		Export LC Drawing	000ELCD000029464	Registration	70-01-01	000	000009	
Acquire & Edit		Export Documentary Re...	300EDCR000029463	DataEnrichment	70-01-01	300	001506	
Acquire & Edit	M	Import Documentary Re...	300IDCR000029462	HandoffRetryTask	70-01-01	300	001506	
Acquire & Edit		Export LC Cancellation	300ELCC000029907	DataEnrichment	1586942741328	300	001506	
Acquire & Edit	M	Export LC Amendment...	300ELCADD000029906	Approval Task Level 1	70-01-01	300	001506	

4. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.

Action	Priority	Process Name	Process Reference	Stage	Application Date	Branch	Customer Number	At
Acquire & Edit		Export Documentary Re...	000EDCR000029935	DataEnrichment	1586947061681	000	000009	
Acquire & Edit	H	Export LC Cancellation	300ELCC000029931	Approval Task Level 1	70-01-01	300	001506	
Acquire & Edit	M	Export Documentary- B...	000EDCB000029467	Registration	70-01-01	000	000947	
Acquire & Edit		Import LC Drawing	300ILCD000029926	Scrutiny	1586946137943	300	001506	
Acquire & Edit	H	Import Documentary- B...	300IDCB000029923	DataEnrichment	1586945695132	300	001506	
Acquire & Edit		Export LC Drawing	000ELCD000029464	Registration	70-01-01	000	000009	
Acquire & Edit		Export Documentary Re...	300EDCR000029463	DataEnrichment	70-01-01	300	001506	
Acquire & Edit	M	Import Documentary Re...	300IDCR000029462	HandoffRetryTask	70-01-01	300	001506	
Acquire & Edit		Export LC Cancellation	300ELCC000029907	DataEnrichment	1586942741328	300	001506	
Acquire & Edit	M	Export LC Amendment...	300ELCADD000029906	Approval Task Level 1	70-01-01	300	001506	

5. The acquired task will be available in My Tasks tab. Click Edit to scrutinize the registered task.

Ac...	Priority	Process Name	Process Reference	Application Number	Stage	Application Date	Branch	Customer Nu
Edit		Export Documentary Re...	000EDCR000029935	000EDCR000029935	DataEnrichment	1586947061681	000	000009

The data enrichment stage has the following hops for data capture:

- Main Details

- Return Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from registration channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

The screenshot displays the Oracle Financials Data Enrichment interface for Application No: PK2EDCR000057045. The interface is divided into two main sections: Application Details and Collection Details. The Application Details section is highlighted with a red border and contains the following fields:

Application Details			
Documentary Collection Number *	Drawer *	Branch	Bill Amount *
PK2EAPM191262501	001044 GOODCARE PLC	PK2-FLEXCUBE UNIVERSAL BANK	GBP £70,000.00
Amount In Local Currency	Process Reference Number	Customer Reference Number	Priority
GBP £70,000.00	PK2EDCR000057045	555	Medium
Submission Mode	Return Date *	Version Number	
Desk	May 6, 2019	2	

The Collection Details section contains the following fields:

Collection Details			
Documents Received	Tenor Type	Product Code *	Product Description
First	Multi Tenor	EAPM	OUTGOING DOCUMENTARY MULTI TEN
Operation Type	Stage	Contract Reference Number	Drawee
ACC	FINAL	PK2EAPM191262501	001043 MARKS AND SPI
Outstanding Bill Amount *	Collecting Bank	Collecting Bank Reference Number	Finance Amount
GBP £70,000.00			GBP
Protest Date	Acceptance Date		

At the bottom of the interface, there are buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables user to view the details of the collection.	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Return Details

Return/Close details hop enables the user to provide details in the below mentioned section to process the return/close.

Field	Description	Sample Values
Protested Bill of Exchange	Check the protested bill of exchange check box, if returned.	
Remarks	User can provide any remarks, if required.	
Unaccepted Bill of Exchange	Check the unaccepted bill of exchange check box, if returned.	
Remarks	User can provide any remarks, if required.	
Other Documents	Check the other documents check box, if any other documents are returned.	
Remarks	User can provide any remarks, if required.	

Documents Disposal

The user can check the relevant box indicating the instructions received from the remitting bank towards disposal of documents. The user can also input additional remarks/description about the document disposal.

Field	Description	Sample Values
Documents Delivered to Drawee Free of Payment	Check the documents delivered to drawee free of payment check box, if returned.	
Remarks	User can provide any remarks, if required.	
Documents Returned to Remitting Bank	Check the documents returned to remitting bank check box, if returned.	
Remarks	User can provide any remarks, if required.	

Field	Description	Sample Values
Documents Handed over to 3rd Party	Check the documents handed over to 3rd party check box, if returned.	
Remarks	User can provide any remarks, if required.	

Additional Fields

Banks can configure these additional fields during implementation.

Advices

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Additional Details

Free Tasks (DEFAULTENTITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export Documentary Collection Return/Close - DataEnrichment :: Application No: PK2EDCR000057928

Main Details | Return Details | Additional Fields | Advices | **Additional Details** | Settlement Details | Summary

Additional Details

Charge Details	Preview Message	Payment Details	FX Linkage
Charge : Commission : Tax : Block Status :	Language : Preview Message : -	Allow Rollover : Auto Change from : Acceptance to Advance : Liquidate using Collateral :	Reference Number : Currency : Contract Amount :

Pre-Shipment Details

No data to display.

Audit | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

Charge Details

This section displays charge details:

Charge Details

Recalculate | Redefault

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
-----------	------	----------	--------	----------	-------	-------

Save & Close | Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	

Field	Description	Sample Values
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	2030013460000000017
LCTAX	GBP	1600	2030013460000000017
LCTAX1	GBP	0	2030013460000000017

Save & Close Cancel

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Payment Details

PaymentDetails

Auto Liquidate Allow Rollover Auto Change from Acceptance to Advance Liquidate using Collateral

Outstanding Collateral Amount
GBP

Settlement Details - Liquidation


Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
AMT_PURCHASED	GBP	R	PK20010410027		PK2	GBP
AMT_PURCHASEDEQ	GBP	P	PK20010440017		PK2	GBP

Page 1 of 1 (1-2 of 2 items)

Preferences

Select the preferences options based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	

Field	Description	Sample Values
Advance by Loan	Read only field. Advance by Loan enables creation of loan at the time of Final liquidation.	
Allow Roll over	Read only field.	
Auto Change from Acceptance to Advance	Read only field. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.  Note This option is applicable only for the bills that are co-accepted by the bank.	
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	

Cash Collateral Details

Select the cash collateral details options based on the description in the following table:



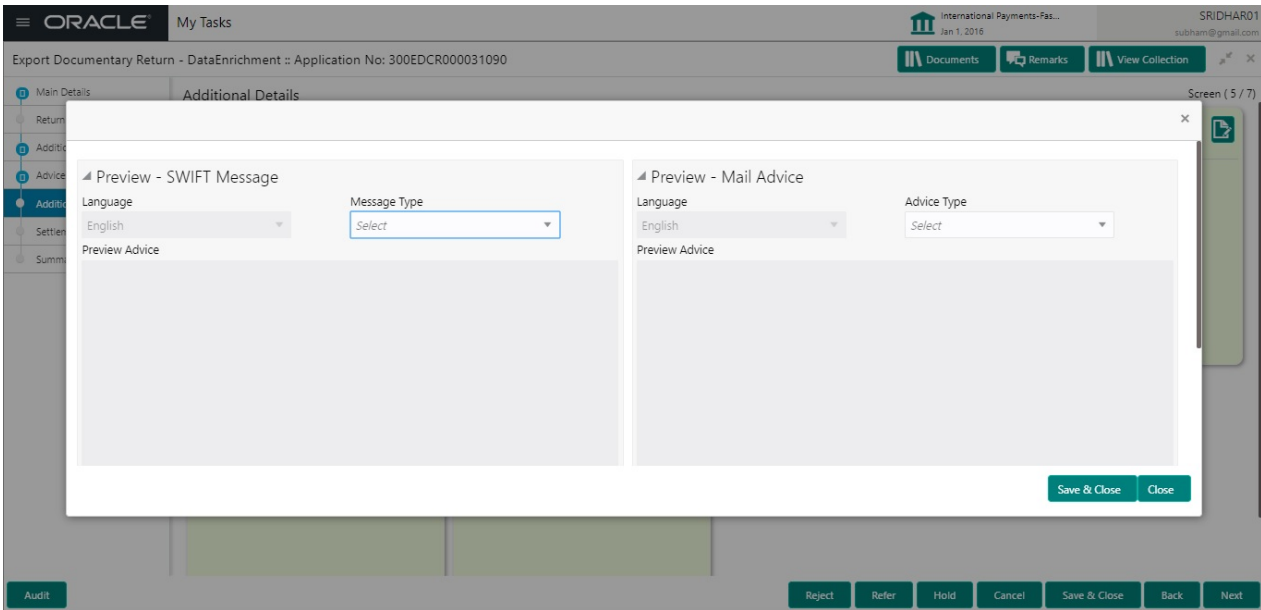
Note

Cash collateral is applicable only for the bills which are co-accepted by the bank.

Field	Description	Sample Values
Outstanding Collateral Amount	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Liquidate using Collateral	Read only field.	

Preview Message

User can view the draft message being displayed on the preview message text box.



Pre-Shipment Details

If a Pre-Shipment Credit is already outstanding against this Export Collection, user must be able to repay the same from the settlement account of the Customer.

Provide the Liquidate Pre-Shipment Finance details based on the description in the following table:

Field	Description	Sample Values
Pre-Shipment Credit Number	Read only field. This field displays the Pre-Shipment Credit Number.	
Currency	Read only field Application defaults the currency of the Pre-Shipment Credit Number.	
Outstanding Amount	Read only field Application defaults the currency of the Pre-Shipment Credit Number.	
Repayment Amount	Read only field. This field displays the repayment amount.	

FX Linkage

This section enables the user to link the de-link one or more FX contract(s) linked to the bill.

De-link the FX contracts detail based on the description in the following table:

FX Linkage

Bill Currency: GBP Bill Amount: GBP £20,000.00 Maturity Date:

FX Reference Number	Contract Currency	Contract Amount	Contract Available Amount	Contract Amount	Rate	Amount in Contract Currency	Expiry Date	Delivery Period From	Delivery Period To	Action
No data to display.										

Page 1 (0 of 0 items) < 1 >

Save & Close Close

Field	Description	Sample Values
Bill Currency	Read only field. This field displays the currency details from the bill.	
Bill Amount	Read only field. This field displays the bill amount from the drawing.	
Maturity Date	System to display the due date for the bill in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
FX Reference Number	This field displays the FX Reference Number of the FX contract.	
Contract Currency	This field displays the currency of the FX contract.	
Contract Amount	This field displays the amount of the FX contract.	
Contract Available Amount	This field displays the unlinked/ available amount under the FX contract.	
Contract Amount	If FX contract is linked already for the underlying bill, system will display the amount linked.	
Rate	This field displays the rate at which the contract is booked.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
Expiry Date	This field displays the expiry date of the contract.	
Delivery Period From	This field displays the date from which the contract is valid for utilization.	
Delivery Period To	This field displays the date to which the contract is valid for utilization.	
Average FX Rate	Average rate for more than one contract if linked.	

Field	Description	Sample Values
Action	Click Edit icon to edit the FX Linkage record. Click Delete icon to delete the FX Linkage record.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables user to view the details of the collection.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Settlement Details

Provide the settlement details based on the description in the following table:

Settlement Details Screen (4 / 6)

▲ Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator
BCCOUR_LIQD	KWD	Debit	GS100002620016	EMR & CO	GBP	
BCLIQCG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCOPNGC_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCSWIFT_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BILL_AMOUNT	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_AMT_EQUIV	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_LIQ_AMT	GBP	Credit	GS100002610012	HSBC BANK	GBP	
BILL_LIQ_AMTEQ	GBP	Debit	GS100002620016	EMR & CO	GBP	
BKTAX_AMT	GBP	Debit	GS100002620016	EMR & CO	GBP	

▲ BCCOUR_LIQD - Party Details

Transfer Type None	Charge Details Remitter All Charges	Netting Indicator Select	Ordering Customer Name/Account
Ordering Institution Name/Account	Senders Correspondent Name/Account	Receivers Correspondent Name/Account	Intermediary Institution Name/Account
Account With Institution Name/Account	Beneficiary Institution Name/Account	Ultimate Beneficiary Name/Account	Intermediary Reimbursement Institution Name/Account

Payment Details

Sender To Receiver 1	Sender To Receiver 2	Sender To Receiver 3	Sender To Receiver 4
Sender To Receiver 5	Sender To Receiver 6		

Remittance Information

Payment Detail 1	Payment Detail 2	Payment Detail 3	Payment Detail 4
------------------	------------------	------------------	------------------

Reject Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> ● Customer Transfer ● Bank Transfer for own account ● Direct Debit Advice ● Managers Check ● Customer Transfer with Cover ● Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> ● Beneficiary All Charges ● Remitter Our Charges ● Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> ● Yes ● No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	

Field	Description	Sample Values
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

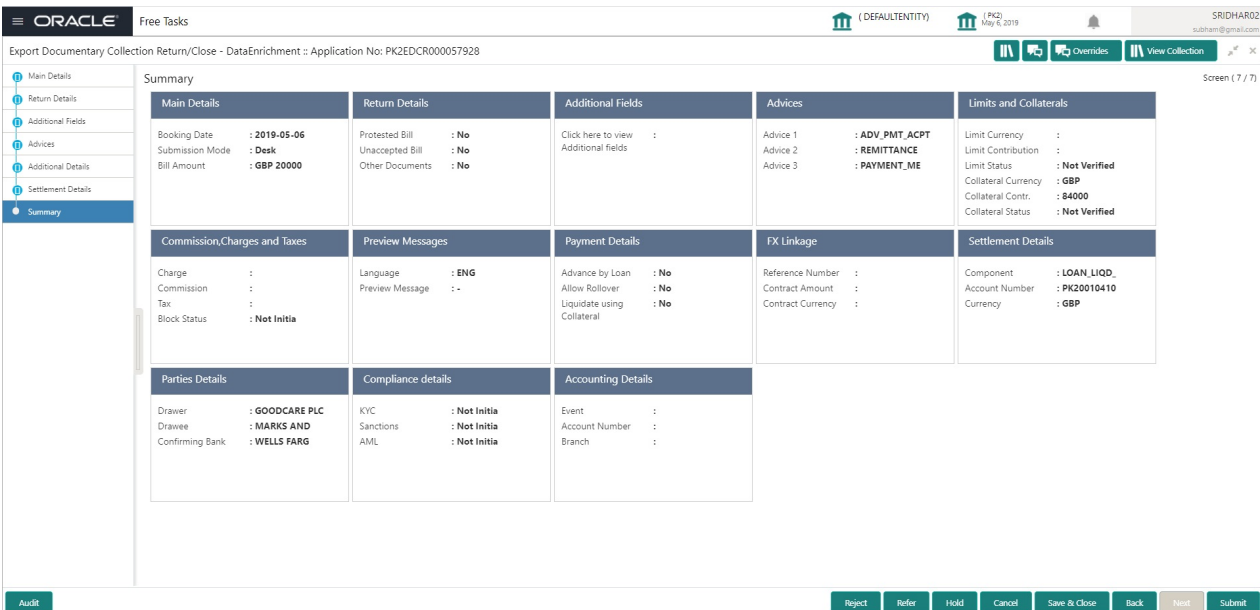
Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment stage of Export Collection Return request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.



Tiles Displayed in Summary

- Main Details - User can view details about application details and Bill details.
- Return Details - User can view the return details.
- Party Details - User can view party details like applicant, collecting bank etc.
- Additional Fields - User can view the details of the additional fields.
- Advices - User can view the advices.
- Preview Messages - User can view the preview message.
- Payment Details - User can view the payment details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
View Collection	Enables user to view the details of the collection.	
Submit	<p>Task will move to next logical stage of Export Documentary Collection Return - Close.</p> <p>If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawee Name
- Drawer Name
- Bill Currency
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'Approval Rekey' dialog box in the FuTura Bank OBTFPM application. The dialog box is titled 'Approval Rekey' and contains the following fields and controls:

- Incoming Message**, **Documents**, and **Remarks** buttons at the top.
- Applicant Party** field with the value '000262' and a green checkmark.
- Application Date** field with the value 'Feb 1, 2019' and a green checkmark.
- Proceed**, **Refer**, and **Cancel** buttons at the bottom.

The background shows the 'Free Tasks' screen with a table of tasks. The table has the following columns: Action, Priority, Application Number, Process Name, Stage, and Back Office Ref No. The table contains several rows of task data.

Action	Priority	Application Number	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS11LCA000006126	Import LC Liquidation	Approval1	NA
Acquire & Edit	H	GS1ELCA000006127	Export LC Advising	Scrutiny	GS1ELAC19032BLHM
Acquire & Edit	M	GS11LCA000006124	Import LC Amendment	Retry HandOff	GS11LSN19032ABYN
Acquire & Edit	H	GS1ELCA000006125	Export LC Advising	Data Enrichment	GS1ELAC19032BLHL
Acquire & Edit	H	GS1ELCA000006123	Export LC Advising	Scrutiny	GS1ELAC19032BLHK
Acquire & Edit	H	GS1ELCA000006122	Export LC Advising	Data Enrichment	GS1ELAC19032BLHU

Summary

ORACLE Free Tasks

SRIDHAR01 subham@gmail.com

Summary :: Application Number : 000EDCR000029935

Documents Remarks View Collection

Party Details Drawee : Wall Mart Drawer : NESTLE CollectingBank : NY_BANK	Additional Fields Click here to view : Additional fields	Advice Details advice1 : PAYMENT_ME	Payment Details LiquidateusingCollateral : AdvanceByLoan : AllowRollover :	Charge Charge : Commission : Tax : Block Status : Not Initia
Limits Details Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	FX Linkage Details Reference No. : Contract Amount : Linkage Amount :	Settlement Details Component : LOAN_LIQD_ Account Number : 000356780180 Currency : GBP	Preshipment Details Preshipment Credit : Preshipment Credit : OutstandingAmount : Preshipment Credit RepayAmount	Preview Message Language : ENG Preview Message : -
Return Details Protested Bill of Exchange : N Unaccepted Bill of Exchange : N Other Documents	Compliance KYC : Not Verified Sanctions : Verified AML : Verified	Audit Reject Hold Refer Cancel Approve		

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Return Details - User can view the return details.
- Party Details - User can view party details like applicant, collecting bank etc.
- Additional Fields - User can view the details of the additional fields.
- Advices - User can view the advices.
- Preview Messages - User can view the preview message.
- Settlement Details - User can view the settlement details.
- Pre-shipment - User can view the pre-shipment details.
- Payment Details - User can view the payment details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

A		Reject Approval 29
Additional Details		Action Buttons 30
Action Buttons 22		Summary 30
Charge Details 16		Return Details
FX Linkage 20		Documents Disposal 14
Payment Details 19		S
Pre-Shipment Details 20		Summary
Preview Message 20		Action Buttons 26
Tracers 22		
B		
Benefits 1		
D		
Data Enrichment 8		
Additional Details 16		
Additional Fields 14		
Advices 15		
Main Details 11		
Settlement Details 23		
Summary 25		
E		
Exceptions 26		
Exception - Limit Check/Credit 26		
Export Documentary Collection Return - Close 2		
Exceptions 26		
Liquidation 8		
Multi Level Approval 26		
Registration 2		
Reject Approval 29		
K		
Key Features 1		
M		
Main Details		
Action Buttons 12		
Application Details 11		
Multi Level Approval 26		
O		
Overview 1		
R		
Registration 2		
Application Details 4		
Collection Details 5		
Miscellaneous 7		

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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